



VT SG UK DEFINED RETURN ASSETS FUND

APPLICATION FORM FOR THE PURCHASE OF SHARES

THIS FORM DULY COMPLETED SHOULD BE SENT TO:

Valu-Trac Investment Management Limited, Orton, Fochabers, Moray IV32 7QE
 Tel: 01343 880344, Fax: 01343 880267, or for email, please refer to the relevant fund page at valu-trac.com
 If sent by fax or email, please confirm to Valu-Trac Investment Management Limited by telephone immediately and forward the original application form to the address above

PURCHASE APPLICATION

I/We, the undersigned, having received and read a copy of the most recent Prospectus for the VT SG Defined Return Assets ICVC ("the Company") (together with any addendums or supplements thereto) and the Key Investor Information Document, hereby apply for such number of Shares in the Company as may be purchased with the amounts indicated below at the subscription price determined in accordance with the Prospectus:

FUND: VT SG UK DEFINED RETURN ASSETS FUND

SHARE CLASS: A (NET ACCUMULATION)

AMOUNT: **GBP/SHARES** (please delete as appropriate)

DETAILS OF APPLICANT

FIRST HOLDER

Company/Nominee Name	
or Title	
Surname	
Forenames	
Address	
Postcode	
Country	
Date of Birth	
Mobile Number*	
Email*	

JOINT HOLDER

Title	
Surname	
Forenames	
Address	
Postcode	
Country	
Date of Birth	
Mobile Number*	
Email*	

***IT IS MANDATORY TO PROVIDE BOTH A CONTACT NUMBER AND AN EMAIL ADDRESS TO ALLOW US TO PROCESS INVESTOR VERIFICATION (DETAILS BELOW)**



BANK DETAILS OF APPLICANT

Name of Bank

Address

Account Name

Account Number

Bank Sort Code

or Bank Swift Address

or Bank ABA Number

Distributions (if applicable) will be paid to the bank account above

DATA PROTECTION

For full information on how VT processes, personal information and what your rights are, please see our Privacy Policy online at www.valu-trac.com. Your data will be shared with our investor verification partner Smartsearch for investor verification purposes only. The Smartsearch privacy policy can be found at smartsearch.com/privacy-notice. Please refer to our website for further information on Smartsearch and our investor verification process.

FATCA DECLARATION OF U.S. CITIZENSHIP OR U.S. RESIDENCE FOR TAX PURPOSES

Please tick either (a) **or** (b) and complete as appropriate.

a) I confirm that **I am not** a U.S. citizen and/or resident in the U.S. for tax purposes.

b) I confirm that **I am** a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

CRS DECLARATION OF TAX RESIDENCY

Please indicate all countries in which you are resident for tax purposes and the associated Taxpayer Identification Number(s) in the below. Please see the CRS Portal for more information on Tax Residency.

COUNTRY OF TAX RESIDENCY	TAX ID NUMBER (UK Individuals should use their UK National Insurance Number)
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

PAYMENT METHOD – PAYMENT IS BY WIRE TRANSFER ONLY

Funds should be wired for value on the settlement date of this transaction which will have been agreed in advance with Valu-Trac Investment Management Limited and which will be stated on the Contract Note issued to you by Valu-Trac Investment Management Limited. If funds are received on any date other than this agreed settlement date they may be returned by the Bank.

AUTHORISED SIGNATORIES

This application is authorised by the following who is/are person(s) authorised to give all instructions and to take all actions on my/our behalf in connection with any Shares held by me/us in the VT North Capital Active Equity Fund.

Name of authorised Person(s)	Signature of Authorised Person(s)	Date
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

Any One to sign Any Two to sign Separate list attached

Note: If the authorised signatory listing is a separate document forwarded with the original application, this listing needs to be accompanied by an original or certified copy of the company’s mandate which approves the signatory listing.



**ANTI-MONEY LAUNDERING REQUIREMENTS
PLEASE PROVIDE THE FOLLOWING INFORMATION TO
VALU-TRAC INVESTMENT MANAGEMENT LIMITED**

CORPORATE ENTITY

Company Name	<input type="text"/>
Company Registered Address	<input type="text"/>
	<input type="text"/>
Company Registration Number	<input type="text"/>
Copy of authorised signatory list, including specimen signatures;	

The information you provide will be subject to verification checks in association with our verification partner Smartsearch. You do not need to provide any further documentation unless we specifically request more details from you.

TRUSTS

If the Trust is an incorporated organisation, you are not required to provide any further documentation unless we specifically request more details from you. The information you provide will be subject to verification checks in association with our verification partner Smartsearch.

IF the trust is a Private Trust, please provide;

a copy of the most recent trust deed along with email addresses and mobile phone contact details for the settlor and all beneficiaries.

AND follow the same process as described for individuals below.

INDIVIDUALS

Valu-Trac Investment Management Limited has partnered with SmartSearch UK to complete our investor verification.

For further details about Smartsearch and the investor verification process please refer to our website.

On receipt of the completed application form stating an email address and mobile phone number you will receive an email from clientservices@smartsearchuk.com, you will also receive an SMS from Smartsearch with instructions on how to complete the verification process.

This will usually require you to upload a photo of a proof of ID document, such as driving licence or passport, and completing the facial recognition process involving capturing a live image of yourself.

Please note that for joint applicants, each applicant will have to follow the above described process.

IMPORTANT NOTICE

Until the verification process is fully completed by us, we will not be able to process your subscription or undertake any transactions on your behalf. Please ensure you complete the investor verification process detailed above to minimise any inconvenience.

You will be verified by us, in association with our identity verification partner Smartsearch based on the information provided above, and you do not need to provide any further documentation unless we specifically request more details from you.